

Bareilly International University, Bareilly

Ph.D. Programme Ordinance

Effective from Session 2022-23

1. The ordinance shall apply to all Ph.D. programmes conducted by the University and shall be applicable to all disciplines/subjects offered and any amendment from time to time by their respective regulatory bodies or by the University Grant Commission.
2. There shall be University Research Committee to frame rules and procedures. The eligibility for admission, eligibility of supervisor, duration, curriculum evaluation etc shall be proposed and recommended by this body. The committee shall coordinate all the decisions pertaining to Ph.D. programme. The committee shall be empowered to review and make recommendation for amendments in the ordinances to the academic council. The committee constitution shall be –
 1. Vice Chancellor/Nominee of the Vice Chancellor
 2. Principal, Rohilkhand Medical College and Hospital
 3. Principal, Institute of Dental Sciences
 4. Principal, Rohilkhand College of Nursing
 5. Principal, Rohilkhand College of Paramedical Sciences
 6. Principal, B I U College of Pharmacy
 7. Principal, BIU College of Management
 8. Principal, BIU College of Humanities & Journalism
 9. Coordinator/ Director PG Studies of Medical Sciences
 10. Coordinator/ Director PG Studies of Dental Sciences
 11. Controller of Examination
 12. Registrar

3. Program Duration

- a. The minimum duration of Ph.D. shall be three years and the maximum duration shall be **Six** years. The duration of the programme and the time for submission of thesis are counted from the date of provisional registration.
- b. The minimum period of submission of thesis for candidates who possess DM/MCh/ M.D./M.S./ DNB diploma shall be two years and maximum shall be four years.
- c. Extension of duration beyond the prescribed limit for completion of Ph.D. programme can be granted up to a maximum of two years for recorded reasons by Vice-chancellor of the University. However, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission/ registration in the Ph.D. programme.

- d. Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.
- e. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

4. Annual Intake

The number of students shall be admitted as approved by the executive council of the university subject to number of seat available at the time of admission.

5. Medium of Instruction

The medium of instruction for the program shall be English except for the language subject.

6. Type of Ph. D.

- a. Full Time Candidate: A candidate pursuing research in the University on regular basis shall belong to this category.
- b. Part Time Candidate (Internal): A candidate pursuing research while employed in the University shall belong to this category.
- c. Part Time Candidate (External): A candidate pursuing research while serving in a Government Department / Research organization or institution recognized as Research centre by Bareilly International University shall belong to this category.

7. Admission:

- a. Admission shall be granted twice a year. The first term admission process is expected to be completed by 31st Aug and 2nd term by 31st Jan or as decided by University from time to time.
- b. Application shall be invited by notification on University website. The notification shall carry information about last date of receipt of application, number of available seats for each subject/ specialization and proposed date of examination.
- c. The candidates seeking admission shall have to apply on prescribed form. The duly completed application received on or before the last date shall be considered for the notified batch. However, application received after last date as notified shall be considered in the next batch.
- d. Admission shall be made on merit basis. The merit list shall be drawn on the basis on admission / entrance test.
- e. The admission / entrance test shall consist of two part – written and Viva Voce. The candidate shall have to secure minimum of 50% marks on cumulative basis (written and viva combined) to be eligible for admission to Ph.D. program.

f. The selected candidates shall submit the prescribed fees within 10 days from the date of offer of admission, failing which the seat shall be allotted to the next eligible candidate in the merit list. However, Vice Chancellor at his discretion may grant extension in the last date of fee submission.

8. Eligibility for Admission

The eligibility for admission to the Ph. D programme is Post Graduate degree in the relevant specialization (for professional course and traditional courses) with at least 55 percent marks in aggregate or its equivalent grade 'B' in the UGC 7- point scale (or an equivalent grade in a point scale wherever grading system is followed). **Subject to the conditions stipulated in these regulations, the following persons are eligible to seek admission to the Ph. D. Programme under various disciplines:**

- **Medical Faculty:** M.D. or M.S., or Medical P.G. Diploma, or M.Sc. in medical subjects, including M.Sc. in Oncology & M.Sc. in Human Genetics from recognized college / university
- **Dental Faculty:** M.D.S. from recognized college / University
- **Pharmaceutical Sciences:** M. Pharm, Pharm-D and Pharm-D(PB) degree from a recognized College/University
- **Nursing:** P G Qualification from recognized university
- **Paramedical Sciences:** P G Qualification from recognized university
- **Other Program:** P G Qualification from recognized university

Note:

A candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

9. Program Curriculum - The programme consist of following:

- Course work (Pre- Research)
- Research Proposal (Synopsis)
- Research work
- Thesis submission

A. Course Work

The admitted scholars shall be required to undertake course work and duration shall be one semester/term. The course work shall be treated as pre-Ph.D. preparation and shall include study of following subjects:

1. Research Methodology including Computer Application, Research Publication and Ethics (Common to all specialization/subject)
2. Subject Specific Examination / Discipline Specific Examination (As per Specialization)
3. Viva – Voce

In addition study of advance course and review of published researches in the relevant specialization/ subjects, shall also be part of Pre-Ph.D. preparation.

B. Research Proposal (Synopsis)

- Upon successful completion of course work, candidate shall be enrolled as Research scholar and will submit synopsis (research proposal) under the guidance of the Supervisor and co-supervisor.
- Before approval, the synopsis shall be reviewed by Institutional Research Committee and shall be accepted by the University on the recommendation of Institutional Research committee.
- In those discipline / subjects which shall mandate ethical clearance according to the respective Institutional Research committee, approval by the University shall be granted only after having had the necessary ethical clearance.
- Candidate shall take up the research work only after receipt of University acceptance letter for the synopsis.

C. Progress of Research Work

- a. The periodical progress reports on the research work done by research scholar shall be submitted as per schedule in the prescribed format to Institutional Research Committee through his/her Supervisor. These periodically progress report review will be made by the Institutional Research Committee and findings / observations shall be informed to research scholar, if required.
- b. Ph.D. student shall publish at least one research paper in a peer reviewed journal before the submission of the thesis/monograph for adjudication, and produce evidence for the same in the form of acceptance letter or the reprint.
- c. The research scholar shall make a presentation of his/her work in seminars, at least once every year. The last presentation at the time when the Thesis is ready for submission.
- d. Prior to submission of the thesis, the student shall make a pre Ph.D. presentation to the concerned Institutional Research Committee (IRC) that will be open to all faculty members and research students, for getting feedback and comments. Feedback and comments may be suitably incorporated in the draft thesis under the advice of the Supervisor.

D. Submission of Thesis

- a. The submission shall consist of summary of the thesis in about 1000 words and complete thesis along with soft copy using PDF format.
- b. The thesis draft duly reviewed by supervisor shall be submitted to Head of the department / Principal for obtaining certificate of completion.
- c. Research scholar shall ensure the format and guidelines prescribed for preparing the thesis for final submission is strictly adhered to.
- d. The Ph.D. thesis shall be presented in English only, unless the topic requires it otherwise.

10. Program Registration

- a. A candidate successfully completed course work shall be eligible for registration (provisional) for the Degree of Doctor of Philosophy (Ph.D.).
- b. The provisionally registered candidate shall process research proposal (Synopsis) under the supervision of a Research Supervisor for approval and acceptance by University.
- c. The candidates shall make application for permanent registration enclosing copy of the letter of synopsis acceptance by University. However, permanent registration shall be accounted from the date of provisional registration subject to acceptance of the synopsis.

11. Institutional Research Committee:

- a. There shall be a separate Institutional Research Committee for each faculty of University that offers Ph.D. Programme and/or P G Programmes involving research.
- b. The Institutional Research Committee shall be responsible for all the research studies carried in the faculty and shall supervise academic matters related to the Ph.D. degree.
- c. The Institutional Research committee shall be responsible to reviewed and approved synopsis of Ph. D. Programme and Protocols of Post Graduate Programme offered in the faculty.
- d. The composition of Institutional Research Committee of a faculty shall be as under
 - i. Principal of the Faculty-Chairperson
 - ii. Coordinator PG Studies or One Professor (nominee of the Vice Chancellor)
 - iii. Heads of all Departments under the Faculty (not more than 6 by rotation)
 - iv. Subject expert appointed by Vice Chancellor

Or

as formulated and approved by the Vice Chancellor

(Note: All members of the IRC must be qualified to be Ph D Supervisors.)

During the review of synopsis / protocols concern supervisor / co supervisor shall be present.

12. Evaluation of Thesis

- a. The thesis shall be evaluated by two external examiners selected by the Vice-Chancellor from the panel drawn by Institutional Research Committee. Out of the two, at least one should be from outside the state.
- b. When both examiners accept the thesis and recommend for award of the degree, the candidate shall be examined through a viva-voce examination by the board of examiners.

- c. If both the external examiners are unable or unwilling to evaluate thesis or conduct the viva-voce examination, Vice-Chancellor may approve another name from the panel already approved by the Faculty Board.
- d. In case, any of the examiners rejects or recommends re-submission with some modifications, the Vice-Chancellor may refer the thesis to a third examiner out of the panel of examiners.
- e. If third examiners also recommends re-submission with some modifications in the thesis, the candidate shall be asked to modify the thesis and re-submit the same within six months after having carried out all the modifications with a certificate from the Supervisor that all the modifications have been carried out.
- f. If both examiners reject the thesis then the thesis has to be rewritten in one year and not less than six months.
- g. A re-submitted thesis shall be examined by the same examiner(s), who had evaluated the original thesis unless any of them is unable or unwilling to do so, in such case, another examiner shall be appointed from the panel by the Vice-Chancellor.
- h. The viva-voce examination based, among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers. The opinions and views of the members of research advisory committee and other experts shall be given due weightage.
- i. For a candidate to be thorough in final viva-voce, all concerned should have an unanimous and satisfactory opinion about the oral defense. Any dissenting note or opinion if not resolved with the other examiner shall be taken as unsatisfactory oral defense.
- j. In case the performance of the candidate in the oral defense is unsatisfactory, the next date shall be within six months of the final viva-voce (oral defense) examination but not less than three months.
- k. The opinion/decision of the vice chancellor shall be final in resolving all issues.
- l. The date and time for viva-voce shall be notified to the all concerned board members and the candidate.
- m. The recommendation of the board of examiners shall be placed before the Vice-Chancellor for award of degree.

13. Eligibility of Supervisor

Eligibility to be recognized as a Research Supervisor/ Co-Supervisor for Ph.D.:

Qualification	Post qualification experience	No. of Publications in peer reviewed indexed Journals
Ph. D.	2 Yrs	4
DM /M.Ch./ DNB in super speciality	2 Yrs	4
MD/MS/DNB/MDS	4 Yrs	4

Emeritus Scientists/ Emeritus Professors/ Visiting Professors of the University or teachers satisfying the conditions prescribed may be permitted to supervise. Ph.D. scholar.

14. Appointment of Supervisor

- a. A professor (an approved Research Supervisor) at any given point of time, can guide not more than Eight (8) Ph.D. scholars. An Associate Professor (an approved Research Supervisor) can guide up to a maximum of six (6) Ph.D. scholars and an Assistant Professor can guide up to a maximum of four (4) Ph.D. scholars.
- b. However for reckoning the number of candidates under a supervisor, the number of candidates for whom he/she is acting as a co-supervisor shall not be taken into account. The number of candidates for whom a supervisor can act as a co-supervisor shall not exceed FOUR after ascertaining the number of scholars under their supervision.
- c. However, candidates who have submitted their theses to the University, shall not be taken into accounts of scholar under supervision.
- d. A Supervisor who has more than one year of service before retirement/ superannuation may enroll candidates and continue as Research Supervisor even after retirement / superannuation in respect of such candidates.
- e. The maximum age limit for a Supervisor to supervise a Ph.D. candidate is 70 years, provided he/she is associated with the University in a teaching /research position.
- f. A supervisors shall not be allowed to register fresh candidates if they have completed 70 years of age on the date of provisional registration of the candidates(s).
- g. A supervisor shall not undertake to offer guidance for Ph.D. work to any of his/her first degree relatives.
- h. The allocation of Research Supervisor for a research scholar shall be decided by the Research committee of the concerned faculty, subject to seat available with Supervisor of the specialization and research interests of the scholar as indicated.
- i. List of recognised researchers of the University under each faculty, their area of research interest and number of research scholars registered under them will be available at the university website. This will be updated from time to time.

- j. Emeritus Scientists/ Emeritus Professors/ Visiting Professors/ Fellows of the Departments of the University or teachers who are reemployed after their superannuation and satisfying the conditions prescribed for recognition as Research Supervisors, may be permitted to Supervise. Ph.D. scholar (full-time and part-time).

15. Award of Degree

The student shall be eligible for award of Ph D degree on successful completion and notified for award of degree.

16. Miscellaneous

- a. No thesis shall be published without prior permission of the University. The research student will have to apply to the Dean/Director/ Principal of the Faculty /Institute for permission within five years from the date of award of Ph.D. degree. The Director/HOD shall satisfy himself/herself that the thesis is in publishable form.
- b. For any Ph D work, at any stage including after award of degree, the plagiarism or duplication or any other form of malpractice is detected or on receipt of complaint, the matter shall be investigated confidentially by the committee appointed by Vice Chancellor. However, researcher shall be given an opportunity to explain his /her position before initiating any further action as it deems fit. The finding and recommendation of the committee shall be placed before the Academic council.
- c. University, on notification of the award of Ph.D. degree, will provide a soft copy of the thesis (PDF file) to the Co-Ordinator - INFLIBNET Collaboration of the University, within fifteen days from the date of issue of the notification, who will host/upload the same on the INFLIBNET website accessible to other Institutions/ Universities.
- d. In case of scholar who fails to deposit final thesis before three years from the date of registration has to submit additional fees for the every completed years or part thereof as per specified/prescribed fee.

17. NRI/FOREIGN CANDIDATES:

- a. A Foreign/NRI candidate seeking admission to Ph.D programme will submit a brief outline of the proposed area of research along with the application form for admission to Ph.D programme and also two references of the rank of Professors of some University Dean/Principal of the College/Institute concerned, for consideration by the Institutional Research Committee (IRC).
- b. All the recommendations of the Institutional Research Committee (IRC) with regard to admission of the candidates of Ph.D programme in the Colleges/Departments may be placed before university degree research committee for taking final decision.
- c. Initially a maximum of one seat per year per department will be reserved for NRI/Foreign candidates, who will not be required to appear in the Entrance Test and Interview. However, before an NRI/Foreign candidate is allowed to undertake the Ph.D. Programme, he/she will have to appear before the concerned departments which

will adjudge his/her research aptitude, area of research, etc. The HOD will then intimate to concerned Institutional Research Committee (IRC).

d. Foreign/NRI candidates may be given admission if they fulfil other eligibility conditions and seats for them are available in the Institute/Department concerned.

18. Cancelation of Admission/Registration

- a. Those who could not appear the course work / course work examination process for 18 months after the passing pre-Ph. D. examination, their name will be cancelled/deleted from the waiting list of registration process.
- b. Those who could not succeed for the registration process after passing the course work their name will be cancelled/deleted from the waiting list of registration process.
- c. Those who could not succeed for the synopsis approval for two years after passing the course work examination their name will be canceled/deleted from the waiting list of registration process.
- d. Those who could not submit the first progress report for two years after registration, their name will be cancelled/deleted from the scholar list.

19. Power to Amend the Ordinance

Notwithstanding any provisions contained in the ordinance on the recommendation of the Academic Council and the Executive Council shall exercise its powers to change/amend/interpret/implement the decisions and actions concerned with academic matters, to change any or all parts of these Regulations at any time.
